

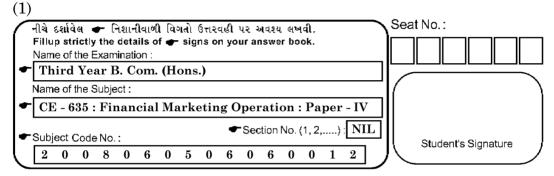
# BA-2008060506060012

# Third Year B. Com. (Hons.) Examination April - 2022

CE - 635: Financial Marketing Operation: Paper - IV

Time: 2 Hours] [Total Marks: 50

## **Instructions:**



- (2) Figures to the right side, indicate full marks of the question.
- 1 Answer in brief: (any 5)

10

- i What is buy back of shares?
- ii What is portfolio management?
- iii Mention any two grievances of investors against stock exchanges.
- iv List down two possible grievances against listed companies.
- v What is depository system?
- vi State the features of OTCEI?
- vii What is red herring prospectus?
- viii What is trade confirmation slip?
- ix Explain any two functions provided by Merchant banking.
- x State the advantage of buy back of shares.
- 2 Explain in detail the SEBI guidelines for Primary Market. 13

#### OR

2 Discuss at length the role of Institutional Investors.

13

3 Which are the key factors considered by Credit Rating 13 Institutions?

# OR

- 3 What are the SEBI guidelines for Bonus Issue? 13
- 4 "Financial intermediaries have to perform the task of financial innovation to meet the dynamically changing needs of the economy" Discuss the above statement in the light of the various innovative products introduced in recent times in the financial service sector.

## OR

4 Discuss briefly some of the innovative financial instruments introduced in recent times in the financial service sector.