



BA-2008060506060012

Third Year B. Com. (Hons.) Examination

April - 2022

CE - 635 : Financial Marketing Operation : Paper - IV

Time : 2 Hours]

[Total Marks : 50

Instructions :

(1)

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| नीचे दशांशों में निशानीवाणी विगतो उत्तरवली पर अवश्य लभवी. Fillup strictly the details of signs on your answer book. | Seat No. : |
| Name of the Examination : | <input type="text"/> |
| Third Year B. Com. (Hons.) | <input type="text"/> |
| Name of the Subject : | <input type="text"/> |
| CE - 635 : Financial Marketing Operation : Paper - IV | <input type="text"/> |
| Subject Code No. : | <input type="text"/> |
| 2 0 0 8 0 6 0 5 0 6 0 6 0 0 1 2 | <input type="text"/> |
| Section No. (1, 2,.....) : NIL | <input type="text"/> |
| | Student's Signature |

(2) Figures to the right side, indicate full marks of the question.

1 Answer in brief: (any 5) **10**

- What is buy back of shares?
- What is portfolio management?
- Mention any two grievances of investors against stock exchanges.
- List down two possible grievances against listed companies.
- What is depository system?
- State the features of OTCEI?
- What is red herring prospectus?
- What is trade confirmation slip?
- Explain any two functions provided by Merchant banking.
- State the advantage of buy back of shares.

2 Explain in detail the SEBI guidelines for Primary Market. **13**

OR

2 Discuss at length the role of Institutional Investors. **13**

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[Contd.....

3 Which are the key factors considered by Credit Rating Institutions ? **13**

OR

3 What are the SEBI guidelines for Bonus Issue? **13**

4 “Financial intermediaries have to perform the task of financial innovation to meet the dynamically changing needs of the economy” – Discuss the above statement in the light of the various innovative products introduced in recent times in the financial service sector. **14**

OR

4 Discuss briefly some of the innovative financial instruments introduced in recent times in the financial service sector. **14**
