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Guidelines for API Calculations

(As per UGC Regulations, 2010 and Regulations, 2016 (4th Amendment)

1. ASSESSMENT PERIOD

1. Assessment Period starts from the date on which he/she has placed in 6000/7000/8000/9000 AGP on or after 01/01/2006 for respective stage.
 - UGC Regulations, 2010, Para No. 6.3.0, Page No. 40
 - UGC Regulations, 2010, Appendix-III, Table III, Page No. 112, 113
2. The augmentation of points mentioned above can be extended up to stage 3 for candidates seeking Professor's Position.
 - UGC Regulations, 2010, Para No. 6.3.0, Page No. 40
 - UGC Regulations, 2010, Appendix-III, Table III, Page No. 112, 113 & 110
3. For Stage 5 to 6, Assessment Period starts from the date on which the candidate becomes Professor.
 - UGC Regulations, 2010, Para No. 6.3.0, Page No. 40, Para No. 6.4.10, Page No. 43
 - UGC Regulations, 2010, Appendix-III, Table III, Page No. 112, 113
4. The minimum Assessment Period for respective stage is as per UGC.
 - UGC Regulations, (4th Amendment) 2016, Appendix-III, Table II-(A), Page No. 27 & Appendix-III, Table III, Page No. 28
5. If originally the assessment period for the candidate who wish to apply for Professor (Stage 4 to 5) is say from 27th March 2008 to 26th March 2011 and the candidate feels that he/she fulfills eligibility (including required number of papers) on 29th August 2012 then the Assessment Period should be defined from 27th March 2008 to 29th August 2012.
6. In case of conditional appointment as Assistant Professor, the number of years for the promotion to next stage will be computed from the date of confirmation. i.e. date of fulfillment of conditions put forth. However, the assessment period for computing API shall be from the date of joining as Assistant Professor.

2. DATE OF PROMOTION

1. If the candidate applies for promotion on completion of the minimum eligibility period and is successful, the date of promotion will be from that of minimum period of eligibility.
2. If, however, the candidate finds that he/she fulfills eligibility conditions at a later date and applies on that date and is successful, his/her promotion will be effected from the date of application fulfilling the criteria.
3. If the candidate does not succeed in the first attempt but succeeds in subsequent assessment, his/her promotion will be deemed to be from the later date of successful assessment.

3. CALCULATION OF API SCORE FOR CATEGORY –III

III (A) RESEARCH PAPERS

- 25 points per publication in Refereed Journals as notified by the UGC.
- 10 points per publication in Other Reputed Journals as notified by the UGC.

- Calculation of API score of category III, research papers which were published/accepted before notification of UGC list & approved journal will be considered as per earlier scheme, where as papers published/accepted after notification of "UGC List of appointed journals' will be considered as per revised scheme.

Augmentation in API score for paper in refereed journals

- (i) paper with impact factor less than 1 - by 5 points;
- (ii) papers with impact factor between 1 and 2 by 10 points;
- (iii) papers with impact factor between 2 and 5 by 15 points;
- (iv) papers with impact factor between 5 and 10 by 20 points;
- (v) papers with impact factor above 10 by 25 points.

Calculation of API scores for joint publications

- From the total score for a publication submitted by a teacher, the First / Principal author and the corresponding author / supervisor / mentor of the teacher would share equally 70% of the total points and the remaining 30% will be shared equally by all other authors.

- Let total API Score for a PUBLICATION submitted by a teacher be 10 and Let there be THREE AUTHORS X, Y & Z.

Case-1 : Suppose X is First/Principal author, Y is supervisor and Z is teacher who is corresponding author, then each will get 7 (70 % of 10) points each.

Case-2 : Suppose X is First/Principal author, Y is teacher (the applicant) and Z is corresponding author, then X and Z will get 7 (70 % of 10) points each where as Y will get 3 (30% of 10) points.

Case-3 : Suppose X is the teacher (the applicant) who is the First/Principal author as well as the corresponding author and Y and Z are co-authors, then X will get 7 points whereas Y and Z will get 3 points each.

- Let total API Score for a PUBLICATION submitted by a teacher be 10 and Let there be FOUR AUTHORS W, X, Y & Z.

Case-1 : Suppose X is First/Principal author, Y is supervisor Z is teacher (the applicant) and W is the corresponding author, then W, X and Y will get 7 (70 % of 10) points each where as Z will get 3 (30% of 10) points.

Case-2 : Suppose X is First/Principal author, Y is teacher (the applicant) who is also the corresponding author and W and Z are co-authors, then X and Y will get 7 (70 % of 10) points each where as W and Z will get 3 (30% of 10) points each.

- Let total API Score for a PUBLICATION submitted by a teacher be 10 and Let there be TWO AUTHORS X & Y, then both the authors will get 70% of the total API Score.

III (B) PUBLICATIONS OTHER THAN JOURNAL ARTICLES (BOOKS, CHAPTERS IN BOOKS)

- 30 points per Book for Single Author Text/Reference Books, published by International Publishers, with ISBN/ISSN number as approved by the University and posted on its website. The list will be intimated to UGC.
- 20 points per Book for Single Author Subject Books, published by National level publishers, with ISBN/ISSN number or State / Central Govt. Publications as approved by the University and posted on its website. The list will be intimated to UGC.
- 15 points per Book for Single Author Subject Books, published by Other local publishers, with ISBN / ISSN number as approved by the University and posted on its website. The list will be intimated to UGC.
- In case of joint authorship for Books, published by International Publishers, with ISBN/ISSN number, points will be equally distributed. For instance if there are THREE authors say X, Y and Z for a book then X, Y and Z will get 10 points each and so on for national and local level publications.
- 10 points per Chapter in International and 5 points per Chapter in National Books published by National and International level publishers, with ISBN/ISSN number as approved by the University and posted on its website. The list will be intimated to UGC.
- In case there are TWO authors writing a chapter in International Books published by International level publishers, with ISBN/ISSN number, then 10 points will be distributed among them and each author will get 5 points.

III (C) RESEARCH PROJECTS

(i) Sponsored Projects

- 20 points per Project for Major Projects with grants above Rs. 30 lakhs for Faculty of Science / Engineering / Agriculture / Medical / Veterinary Sciences and Rs. 5 lakhs for Faculty of Languages / Humanities / Arts / Social Sciences / Library / Physical Education / Management.
- 15 points per Project for Major Projects with grants above Rs.5 lakhs up to Rs.30 lakhs for Faculty of Science / Engineering / Agriculture / Medical / Veterinary Sciences and Rs. 3 lakhs up to 5 lakhs for Faculty of Languages / Humanities / Arts / Social Sciences / Library / Physical Education / Management.
- 10 points per Project for Minor Projects with grants above Rs. 1 lakh up to Rs.5 lakhs for Faculty of Science / Engineering / Agriculture / Medical / Veterinary Sciences and Rs. 1 lakh up to 3 lakhs for Faculty of Languages / Humanities / Arts / Social Sciences / Library / Physical Education / Management.
- In case a project has Co-investigators/ Co-ordinator/Project team members then each will get 70% of the total API.
- Points for the extension period or period after completion of minimum duration of Research Project shall not be considered.
- Research projects awarded by SAP, FIST etc. or any other funding agency shall be considered for API.
- Candidate can claim API in any one year in case of continuation of research project.

(ii) Consultancy Projects

- 10 points for every Rs.10 lakhs for Faculty of Science / Engineering / Agriculture / Medical / Veterinary Sciences and Rs. 2 lakhs for Faculty of Languages / Humanities / Arts / Social Sciences / Library / Physical Education / Management.
- In case a project has Co-investigators/ Co-ordinator/Project team members then each will get 70% of the total API.

(iii) Projects Outcome / Outputs

- 30 points for each international and 20 points for each national level output or patent. Major policy document of International bodies – 30 points, Central Government - 20 points, State Government - 10 points and Local bodies - 5 points.
- In case a project has Co-investigators/Co-ordinator/Project team members then each will get 70% of the total API.

III (D) RESEARCH GUIDANCE

(i) M.Phil.

- 5 points per candidate Degree awarded

(ii) Ph.D.

- 15 points per candidate for Degree awarded
- 10 points per candidate for Thesis submitted

III (E) FELLOWSHIPS/ AWARDS / INVITED LECTURES DELIVERED IN CONFERENCES / SEMINARS

(i) Award / Fellowship

- 15 points per Award / 15 points per Fellowship for International Award / Fellowship from academic bodies /associations.
- 10 points per Award / 10 points per Fellowship for National Award / Fellowship from academic bodies / associations.
- 5 points per Award for State / University Award / Fellowship from academic bodies/associations

(ii) Invited lectures / papers presented

- 7 points per lecture / 5 points per paper at International level
- 5 points per lecture / 3 points per paper presented at National level
- 3 points per lecture / 2 points per paper presented at State/University level.

(The score under this sub-category shall be restricted to 20% of the minimum fixed for Category III for any assessment period)

III (F) DEVELOPMENT OF E-LEARNING DELIVERY PROCESS/MATERIAL

- 10 points per module Development of e-delivery process / material

4. MAJOR PUBLICATIONS FOR ASSESSMENT

- For Assistant Professor to Associate Professor (Stage 3 to Stage 4)
Submit major three (3) Publications list along with copies in four (4) sets
- For Associate Professor to Professor (Stage 4 to Stage 5)
Submit major five (5) Publications list along with copies in four (4) sets

- For the post of Professor, a candidate shall submit the publications from which he/she was placed in the Assistant Professor stage-II (AGP Rs.7,000/-) or Lecturer (Senior Scale).

Note : Candidates are requested to kindly submit verifiable proof as evidence for which he/she wish to claim API. In case of ambiguity or absence of such proof API claimed by the candidate shall not be considered.

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